



# Investmark Advisory Group Fiduciary Retirement Plan Services

## Questions About Your 401(k) Fiduciary Responsibilities?

Investmark's **3(21) Fiduciary Retirement Plan Services** are designed to make 401(k) plan investment management and ERISA compliance as simple and complete as possible. Our plan provides you with a comprehensive range of services and benefits:

- 1. Help reduce risk.** We can share regulatory obligations and administrative requirements with you as a co-fiduciary.
  - 3(21) Oversight Protection
  - Written Co-Fiduciary Acknowledgement
  - Professional Investment Committee Oversight
  - Investment Policy Statement
  - Investment Menu Evaluation Monitoring and Methodology
  - Quarterly Investment Portfolio Review and Report
  - Comprehensive Annual Benchmarking Report
- 2. Save time.** With Investmark handling all investment related items, participant education and plan benchmarking, you can focus on the core duties of managing your business.
- 3. Stay in compliance.** In today's ever changing regulatory environment, our expertise helps keep you ahead of the curve and makes sure you stay in compliance when action is required.
- 4. Less paperwork.** Client day-to-day administrative duties are coordinated by Investmark to ensure successful processing and ongoing compliance. Investmark maintains your audit file and other documentation.
- 5. Objective, expert guidance.** By partnering with us, you know you're getting the help you need to stay confident that your plan administration responsibilities are covered.

Investmark has been helping business owners with these important concerns for over 20 years and we look forward to discussing how we can help guide you in this crucial area of your business. Please don't hesitate to call or email with any questions.

### Investmark Advisory Group

STRATFORD ♦ STAMFORD ♦ GLASTONBURY

**Corporate Offices:** Ryders Landing ♦ 6580 Main Street ♦ Stratford CT 06614 ♦ 203.953.3777 800.443.1006 F 203.386.8300

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